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06-25-01

SUBSTITUTE for PTO/SB/05 (08/00) Utility Patent Application Transmittal
 Approved for use through 10/31/2002. OMB 0651-0032
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 20703Y

First Inventor or Application Identifier

Robert K. Evans

Title

POLYNUCLEOTIDE VACCINE ADJUVANTS AND
FORMULATIONS CONTAINING CATIONIC
SURFACTANTS, AND METHODS OF USE

Express Mail Label No.

EL 523909478US

11000 U.S. PTO
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06/22/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages]
3. ☒ Drawing(s) (35 USC 113) [Total Sheets]
[Total Pages]
4. Oath or Declaration
 - a. ☒ Newly executed (original or copy)
(unsigned)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 14 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 CFR 1.63(d)(2)
and 1.33 (b).
5. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
12. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. ☒ Other: Application Transmittal 1.53(b)

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. ____ / ____

Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

15. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code
Label

Customer No. 000210
(Insert Customer No. or Attach bar code here)

| | | | | | |
|---------|--|-----------|--------------|----------|--------------|
| NAME | J. Mark Hand | | | | |
| ADDRESS | Merck & Co., Inc., P.O. Box 2000 - Patent Dept., RY60-30 | | | | |
| CITY | Rahway | STATE | NJ | ZIP CODE | 07065-0907 |
| COUNTRY | USA | TELEPHONE | 732-594-3905 | FAX | 732-594-4720 |

| | | | |
|-----------|---------------------|-----------------------------------|-----------|
| Name | J. Mark Hand | Registration No. (Attorney/Agent) | 36,545 |
| Signature | <i>J. Mark Hand</i> | Date | 6/22/2001 |

EXPRESS MAIL CERTIFICATE

DATE OF DEPOSIT June 22, 2001

EXPRESS MAIL NO. EL523909478US

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL "POST OFFICE TO ADDRESSEE" ON THE ABOVE DATE IN AN ENVELOPE ADDRESSED TO ASSISTANT COMMISSIONER FOR PATENTS, BOX PATENT APPLICATION, WASHINGTON, D.C. 20231.

MAILED BY A.B. Crowley DATE 6/22/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Deposit Acct. 13-2755
MERCK & CO., INC.
Our Case Docket No. 20703Y

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the patent application of Inventor(s):
Robert K. Evans

For: POLYNUCLEOTIDE VACCINE ADJUVANTS AND FORMULATIONS CONTAINING CATIONIC SURFACTANTS,
AND METHODS OF USE

| For | Number Filed | Number Extra | Rate | Basic Fee \$710 |
|---|-----------------|-------------------------|-------|--------------------|
| Total Claims | 38 - 20 = | 18 X | \$18 | = \$324 |
| Independent Claims | 3 - 3 = | 0 X | \$80 | = \$0 |
| Multiple Dependent Claims* | 1 | 1 X | \$270 | = \$270 |
| Add this fee if application contains any multiple dependent claims, regardless of number. | | TOTAL FILING FEE —————> | | \$1,304 |

Please charge my Deposit Account No. 13-2755 in the amount of \$ 1,304 . The Assistant Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 13-2755. Duplicate copy of this sheet is enclosed.

☒ Under the provisions of 37 C.F.R. §1.53, this application is being filed without the declaration of each inventor.

Respectfully,


By: J. Mark Hand

Attorney _____ For Applicant(s)

Reg. No. 36,545

MERCK & CO., INC.

Patent Dept., RY60-30

P.O. Box 2000

Rahway, N.J. 07065-0907

(732) 594- 3905

Date: June 22, 2001

IN DUPLICATE

EXPRESS MAIL CERTIFICATE

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MAILED BY G.B. Crowley DATE 6/22/01